



Open Access-Policy of ZALF

[adopted and decided by the executive board of ZALF on March 18, 2022]

BACKGROUND

Open Access (OA), as part of the Open Science paradigm, stands for unrestricted and free access to quality-assured scientific information. As part of the cultural shift towards greater transparency, OA helps to accelerate scientific innovation processes and improve the visibility of research results, as well as maximizing the benefits of publicly funded research.

As a member of the Leibniz Association, ZALF is committed to Open Access. It follows the "Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities"¹, the "Open Access-Policy of the Leibniz Association"² and the "Recommendations for the Transformation of Scientific Publishing to Open Access" of the German Council of Science and Humanities of 2022³. ZALF also follows the transformation of the scientific publication market by participating in the OA funding model DEAL⁴ of the Alliance of German Science Organizations.

RECOMMENDATIONS AND COMMITMENTS

ZALF expects its scientists to publish their research results in the spirit of Open Access. This is done as directly as possible by publication in pure OA publication media or by parallel or fastest possible subsequent secondary publication via repositories. OA publications of ZALF should be published under the license Creative Commons Attribution (CC-BY)⁵.

In the case of OA secondary publication, it is necessary not to grant exclusive rights of use to publishers, but to secure one's own right of use permanently. ZALF recommends its scientists to acquire third-party funding for OA publications when applying for projects, until fully centralized OA funding can be guaranteed in the medium term.

Research funders of the "Coalition-S"⁶, which make OA mandatory, are to be preferred in the sense of this policy. All OA funding is to be mentioned in the Acknowledgements.

¹ http://openaccess.mpg.de/3515/Berliner_Erklaerung

² https://www.leibniz-gemeinschaft.de/fileadmin/user_upload/Bilder_und_Downloads/Forschung/Open_Science/Open_Access_Policy_web.pdf

³ <https://www.wissenschaftsrat.de/download/2022/9477-22.pdf?blob=publicationFile&v=12>

⁴ <https://www.deal-operations.de/>

⁵ <http://creativecommons.org/licenses/by/4.0/>

⁶ <https://www.coalition-s.org/>

ZALF follows the recommendation of the German Council of Science and Humanities to register authors with the Personal Identifier ORCID in order to facilitate the attribution of the steadily growing number of publications.

For the next few years, ZALF aims to achieve an OA share of 80% for its publications written in Corresponding Authorship. Accordingly, publications in "closed" or "hybrid" publication organs are to be reduced.

ZALF expects its scientists to implement this guideline as far as technically justifiable.

PROMOTION AND IMPLEMENTATION

ZALF promotes Open Access by:

- Identifying OA publications of ZALF and making them permanently available via the in-house publication database on the ZALF homepage as well as via LeibnizOpen⁷,
- providing funding for OA publications from the ZALF Publication Fund⁸ when other sources have been exhausted,
- providing information on OA publication management, as well as support in clarifying related questions. OA contact person with implementation responsibility for this policy as well as for payment approvals from the ZALF Publication Fund is the head of Publication Management / Library, phone 202, bib@zalf.de,
- participating in the OA transformation model DEAL of the Alliance of German Science Organizations (currently via the publishers Springer Nature and Wiley),
- on the part of the "Administration and Services" department, ensuring cost registration at the publication level and thus contributing to a transparent information budget for the further strategic orientation of OA design.

⁷ <https://leibnizopen.de>

⁸ https://zalf-intranet.zalf.de/ZALF_Richtlinien/Publikationsfonds_des_ZALF_2022-03-07.pdf